

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- Axis House, 8th Floor, Wadia International Centre
Pandurang Budhkar Marg, Worli
Mumbai
Mumbai City
Maharashtra
400025
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company | Sub-category of the Company |
|---------------------|---------------------------|-------------------------------|
| Public Company | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(b) CIN of the Registrar and Transfer Agent

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K6	Fund Management Services	59
2	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	34
3	K	Financial and insurance Service	K8	Other financial activities	6

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	AXIS BANK LIMITED	L65110GJ1993PLC020769	Holding	100
2	AXIS CAPITAL USA LLC		Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	175,000,000	73,500,000	73,500,000	73,500,000
Total amount of equity shares (in Rupees)	1,750,000,000	735,000,000	735,000,000	735,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	175,000,000	73,500,000	73,500,000	73,500,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	1,750,000,000	735,000,000	735,000,000	735,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	73,500,000	73500000	735,000,000	735,000,000	

Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	73,500,000	73500000	735,000,000	735,000,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>
Date of registration of transfer (Date Month Year)		<input type="text"/>
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.) <input type="text"/>

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

3,806,122,584

(ii) Net worth of the Company

5,192,059,487

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	73,499,940	100	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others Acting as Nominee of Axis Bank l	60	0	0	
	Total	73,500,000	100	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	0	0	0	0

Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

7

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	6	6
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	2	5	2	5	0	0
(i) Non-Independent	2	2	2	2	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	2	5	2	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SAMIRKUMAR BARUA	00211077	Director	0	
BAHRAM NAVROZ VAI	00283980	Director	0	
CHIRAG RANJIT NEGA	06988113	Whole-time director	0	
SALIL BHARAT PITALE	07824218	Whole-time director	0	
RAJIV ANAND	02541753	Director	10	
AMITABH CHAUDHRY	00531120	Director	0	
SUTAPA BANERJEE	02844650	Director	0	
MAHADEVAN NATARA	AAGPI3399Q	Company Secretar	0	
NEELESH RADHESHY.	AFFPB8660M	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SAMIRKUMAR BARUA	00211077	Director	24/06/2020	Re-appointment as Independent Dire
BAHRAM NAVROZ VAI	00283980	Director	24/06/2020	Re-appointment as Independent Dire

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	26/06/2020	7	6	100

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	22/04/2020	7	7	100
2	15/05/2020	7	7	100
3	17/07/2020	7	7	100
4	16/10/2020	7	7	100
5	18/01/2021	7	7	100
6	17/03/2021	7	7	100

C. COMMITTEE MEETINGS

Number of meetings held

12

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	22/04/2020	5	5	100
2	Audit Committee	15/05/2020	5	5	100
3	Audit Committee	17/07/2020	5	5	100
4	Audit Committee	16/10/2020	5	5	100
5	Audit Committee	18/01/2021	5	5	100
6	Nomination & I	15/05/2020	4	4	100
7	Nomination & I	06/10/2020	4	4	100
8	Nomination & I	18/01/2021	4	4	100
9	Risk Management	17/07/2020	5	5	100
10	Risk Management	16/10/2020	5	5	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	25/06/2021
								(Y/N/NA)
1	SAMIRKUMAR	6	6	100	11	11	100	Yes
2	BAHRAM NAV	6	6	100	12	12	100	No
3	CHIRAG RAN	6	6	100	4	4	100	Yes
4	SALIL BHARA	6	6	100	12	12	100	Yes
5	RAJIV ANAND	6	6	100	9	9	100	Yes
6	AMITABH CH	6	6	100	0	0	0	No
7	SUTAPA BAN	6	6	100	8	8	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Salil Bharat Pitale	Whole Time Dire	18,250,000	0	26,398,116	21,899,857	66,547,973
2	Chirag Ranjit Negar	Whole Time Dire	18,250,000	0	26,398,116	21,851,542	66,499,658
	Total		36,500,000	0	52,796,232	43,751,399	133,047,631

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mahadevan Natraja	Company Secre	9,471,000	0	4,960,800	2,883,432	17,315,232
2	Neelesh Baheti	CFO	8,118,057	0	0	925,000	9,043,057
	Total		17,589,057	0	4,960,800	3,808,432	26,358,289

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Samir Barua	Independent Dir	0	0	0	850,000	850,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	Behram Vakil	Independent Dir	0	0	0	900,000	900,000
3	Sutapa Banerjee	Independent Dir	0	0	0	700,000	700,000
	Total		0	0	0	2,450,000	2,450,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Sandeep V. Walawalkar

Whether associate or fellow

Associate Fellow

Certificate of practice number

16135

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Salil Bharat Pitale
Digitally signed by Salil Bharat Pitale
Date: 2021.08.13 13:04:53 +05'30'

DIN of the director

To be digitally signed by

NATARAJAN MAHADEVA NIYER
Digitally signed by NATARAJAN MAHADEVAN IYER
Date: 2021.07.29 11:37:36 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

-
-
-
-

ACL - List of Shareholders as on 31-03-2021.pdf
Axis Cap -MGT-8 - FY 20-21.pdf
ACL - Annx - MGT-7 - Point IX-C-Meetings

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

AXIS CAPITAL LIMITED
LIST OF SHAREHOLDERS AS ON 31/03/2021

Ben_Date	Isin	Dpid	Clid	Holder	Shares
31/03/2021	INE950N01029	IN300484	13762466	AXIS BANK LIMITED	73499940
31/03/2021	INE950N01029	IN304295	11022579	HIMADRI SHEKHAR CHATTERJEE	10
31/03/2021	INE950N01029	IN304295	11096254	RUDRAPRIYO RAY	10
31/03/2021	INE950N01029	IN304295	13839454	RAJESH KUMAR DAHIYA	10
31/03/2021	INE950N01029	IN304295	18142408	RAJIV ANAND	10
31/03/2021	INE950N01029	IN304295	29974796	RAVI NARAYANAN	10
31/03/2021	INE950N01029	IN304295	50661135	GANESH SANKARAN	10
					73500000

**Form No. MGT-8**

**[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]**

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **Axis Capital Limited [CIN-U51900MH2005PLC157853]** (the “**Company**”) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefor;
 3. filing of the required forms and returns with the Registrar of Companies, within the prescribed time. However, the Company had sought and has been granted immunity from prosecution or imposition of penalty under Companies Fresh Start Scheme (CFSS), 2020 w.r.t. delayed filings of certain e-forms during FY 2020-21 as listed in the table below-

SRN	Form Numbers	Date of Filing	Date of Event
R43068774	Form MGT-14	24/06/2020	20/03/2020
R43068972	Form MGT-14	24/06/2020	22/04/2020
R47328943	Form DIR-12	25/07/2020	24/06/2020
R47329883	Form MGT-14	25/07/2020	15/05/2020
R50883503	Form MGT-14	21/08/2020	26/06/2020
R54053152	Form MGT-7	08/09/2020	26/06/2020
R57357840	Form DPT-3	21/09/2020	30/06/2020
R70199096	Form MGT-14	06/11/2020	17/07/2020

The Company was not required to file any forms under the Act with the Regional Director, Central Government, the Tribunal, Court or other authorities;

4. calling, convening, holding meetings of Board of Directors, its committees and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Books maintained for the purpose and the same have been signed. Further the members are less than two hundred hence no resolution was passed by postal ballot;
5. the Company has not closed its Register of Members during the year;
6. there were no advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
7. all contracts and arrangement entered into by the Company with related parties as specified in Section 188 of the Act in the ordinary course of its business and at arm's length basis;
8. there were no issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
9. the Company has not kept in abeyance the rights to dividend, rights share and bonus shares pending registration of transfer of shares under the provisions of the Act;
10. the Company declared a and made the payment of dividend. The Company was not required to transfer any amount to the Investor Education and Protection Fund under section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment / retirement disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them. There was no requirement of filling up of casual vacancies of Directors / Key Managerial Personnel;

13. reappointment of auditors as per the provisions of section 139 of the Act. There was no requirement of filling up by casual vacancy of auditors;
14. the Company was required to take any approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. the Company has neither accepted nor renewed any public deposits during the financial year;
16. there was no borrowing from its directors. However, there were working capital borrowing limits availed by the Company from Axis Bank Limited (member) which are secured by way of exclusive charge on the receivables of the Company and pledge / lien over the mutual fund units / FDR held by the Company. The Company has filed the requisite particulars of creation of charge with the jurisdictional Registrar of Companies;
17. there were no instances of loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. there was no alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

For Sandeep V. Walawalkar & Associates
Company Secretaries
UIN-S2016MH375200

Sandeep V. Walawalkar
Proprietor
M. No. F9646, C.P. No. 16135
UDIN- F009646C000651881

Place: Mumbai

Date- 19/07/2021

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MGT-7 – ANNUAL RETURN – F.Y. 2020-2021

POINT NO. IX(C) – COMMITTEE MEETINGS:

S. No.	Type of Meeting	Date of Meeting	Total Number of Members as on date of meeting	Attendance	
				Number of members attended	% of attendance
1.	Audit Committee Meeting	22/04/2020	5	5	100
2.	Audit Committee Meeting	15/05/2020	5	5	100
3.	Audit Committee Meeting	17/07/2020	5	5	100
4.	Audit Committee Meeting	16/10/2020	5	5	100
5.	Audit Committee Meeting	18/01/2021	5	5	100
6.	Nomination & Remuneration Committee Meeting	15/05/2020	4	4	100
7.	Nomination & Remuneration Committee Meeting	06/10/2020	4	4	100
8.	Nomination & Remuneration Committee Meeting	18/01/2021	4	4	100
9.	Risk Management Committee Meeting	17/07/2020	5	5	100
10.	Risk Management Committee Meeting	16/10/2020	5	5	100
11.	Risk Management Committee Meeting	18/01/2021	5	5	100
12.	Corporate Social Responsibility Committee Meeting	17/03/2021	4	4	100

For Axis Capital Limited


Natarajan Mahadevan
Company Secretary
M. No.: A 8092

Axis Capital Limited
(Erstwhile "Axis Securities and Sales Limited")
SEBI Merchant Regn. No. : MB/INM000012029
SEBI Stock Broker Regn.No. : INZ000189931
Member of :
BSE Ltd.
National Stock Exchange of India Ltd.
Metropolitan Stock Exchange of India Ltd.
CIN No. U51900MH2005PLC157853

Corporate office :
Axis House, 1st Floor, C-2, Wadia International Centre,
Pandurang Budhkar Marg, Worli, Mumbai - 400025. India.
Tel No. : 91- 22 - 43252525
Web: www.axiscapital.co.in

Registered office :
Axis House, 8th Floor, C-2, Wadia International Centre,
Pandurang Budhkar Marg, Worli, Mumbai - 400025. India.

